

### Complaint Data to be displayed by IAs

Formats for investors complaints data to be disclosed monthly by IAs on their website/mobile application:

#### Data for the month ending September 2024

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous	Received	Resolved*	Pending#
1	April, 2022	NIL	NIL	NIL	NIL
2	May, 2022	NIL	NIL	NIL	NIL
3	June, 2022	NIL	NIL	NIL	NIL
4	July, 2022	NIL	NIL	NIL	NIL
5	August, 2022	NIL	NIL	NIL	NIL
6	September, 2022	NIL	NIL	NIL	NIL
7	October, 2022	NIL	NIL	NIL	NIL
8	November, 2022	NIL	NIL	NIL	NIL
9	December, 2022	NIL	NIL	NIL	NIL
10	January, 2023	NIL	NIL	NIL	NIL
11	February, 2023	NIL	NIL	NIL	NIL
12	March ,2023	NIL	NIL	NIL	NIL
13	April 2023	NIL	NIL	NIL	NIL
14	May 2023	NIL	NIL	NIL	NIL
15	June 2023	NIL	NIL	NIL	NIL
16	July 2023	NIL	NIL	NIL	NIL
17	Aug 2023	NIL	NIL	NIL	NIL
18	September 2023	NIL	NIL	NIL	NIL
19	October 2023	NIL	NIL	NIL	NIL
20	November 2023	NIL	NIL	NIL	NIL
21	December 2023	NIL	NIL	NIL	NIL
22	January 2024	NIL	NIL	NIL	NIL
23	February 2024	NIL	NIL	NIL	NIL

24	March 2024	NIL	NIL	NIL	NIL
25	April 2024	NIL	NIL	NIL	NIL
26	May 2024	NIL	NIL	NIL	NIL
25	June 2024	NIL	NIL	NIL	NIL
26	July 2024	NIL	NIL	NIL	NIL
27	August 2024	NIL	NIL	NIL	NIL
28	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

*\*Inclusive of complaints of previous months resolved in the current month.*

*#Inclusive of complaints pending as on the last day of the month.*

**Trend of annual disposal of complaints**

<b>Sr. No.</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending#</b>
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

*\*Inclusive of complaints of previous years resolved in the current year.*

*#Inclusive of complaints pending as on the last day of the year.*